

Name of Asset manager: Bangladesh RACE Management PCL.
Name of the Scheme/Mutual Fund: RACE Special Opportunities Unit Fund
Portfolio Statements as at: September 30, 2021

I. Investment in Capital Market Securities (Listed)

[Figure in Bangladesh Taka]

| SL. | Investment in Stock / Securities(Sectorwise) | No. of Shares/Unit | Cost Value | Market Value | Appreciation(or Diminution) in the Market Value / Fair Value of investments | % Change (in terms of cost) | % of Total Investment |
|---|--|--------------------|--------------------|--------------------|---|-----------------------------|-----------------------|
| A. Share of Listed Companies (Script Wise) | | | | | | | |
| 1 | DUTCHBANGL | 85,975 | 8,255,878 | 6,955,395 | (1,300,484) | -15.75% | 1.31% |
| 2 | EXIMBANK | 2,745,192 | 31,506,039 | 34,863,938 | 3,357,900 | 10.66% | 6.54% |
| 3 | MTB | 269,470 | 5,843,834 | 5,578,030 | (265,804) | -4.55% | 1.05% |
| 4 | NBL | 2,357,295 | 17,814,078 | 20,037,008 | 2,222,929 | 12.48% | 3.76% |
| 5 | PREMIERBAN | 5,220,831 | 68,533,849 | 75,702,050 | 7,168,201 | 10.46% | 14.21% |
| 6 | IBBLPBOND | 22,395 | 22,203,523 | 22,697,333 | 493,810 | 2.22% | 4.26% |
| 7 | BATBC | 60,180 | 30,997,514 | 39,177,180 | 8,179,666 | 26.39% | 7.35% |
| 8 | UNILEVERCL | 2,662 | 5,618,151 | 7,660,704 | 2,042,553 | 36.36% | 1.44% |
| 9 | SUMITPOWER | 298,000 | 13,076,240 | 14,214,600 | 1,138,360 | 8.71% | 2.67% |
| 10 | BGIC | 102,850 | 3,889,787 | 6,253,280 | 2,363,493 | 60.76% | 1.17% |
| 11 | BEXIMCO | 188,506 | 6,150,951 | 25,863,023 | 19,712,072 | 320.47% | 4.85% |
| 12 | MIDASFIN | 78,582 | 1,202,305 | 1,956,692 | 754,387 | 62.75% | 0.37% |
| 13 | PHOENIXFIN | 402,758 | 12,276,064 | 13,572,945 | 1,296,881 | 10.56% | 2.55% |
| 14 | BXPHARMA | 24,932 | 3,748,277 | 5,991,160 | 2,242,883 | 59.84% | 1.12% |
| 15 | RENATA | 41,027 | 43,382,360 | 58,771,178 | 15,388,817 | 35.47% | 11.03% |
| 16 | GP | 268,729 | 95,981,937 | 102,170,766 | 6,188,829 | 6.45% | 19.18% |
| | Sub-Total | 12,169,384 | 370,480,786 | 441,465,279 | 70,984,493 | 19.16% | 82.87% |
| B. Listed Mutual Funds/CIS (Script Wise) | | | | | | | |
| | | N/A | N/A | N/A | N/A | N/A | N/A |
| | Sub-Total | - | - | - | - | 0.00% | - |
| C. Listed Bond/Debenture/Islamic Securities (Script Wise) | | | | | | | |
| | | | | | - | 0.00% | 0.00% |
| | Sub-Total | - | - | - | - | 0.00% | - |
| D. Other Listed Securities(Script Wise) if any | | | | | | | |
| | | N/A | N/A | N/A | N/A | N/A | N/A |
| | Sub-Total | - | - | - | - | 0.00% | - |
| | Grand Total Capital Market Securities | 12,169,384 | 370,480,786 | 441,465,279 | 70,984,493 | 19.16% | 82.87% |

II. Investment in Capital Market Securities (Non- Listed)

| SL. | Investment in Stock / Securities(Sectorwise) | No. of Shares/Unit | Cost Value | Fair value/Market Value** | Appreciation(or Diminution) in the Market Value / Fair Value of investments | % Change (in terms of cost) | % of Total Investment |
|--|--|--------------------|------------|---------------------------|---|-----------------------------|-----------------------|
| A. Open-End Mutual Funds (Script Wose)* | | | | | | | |
| | | N/A | N/A | N/A | N/A | N/A | N/A |
| | Sub-Total | - | - | - | - | - | - |

| | | | | | | | |
|--|------------------|-----|-----|-----|-----|-----|-----|
| B. Pre-IPO Placement Shares, if any | | | | | | | |
| | | N/A | N/A | N/A | N/A | N/A | N/A |
| | Sub-Total | - | - | - | - | - | - |

| C.Non- Listed Bond/Debenture/Islamic Securities (Script Wise) | | | | | | | |
|---|---|----------|-------------------|-------------------|---|--------------|---------------|
| 1 | The Premier Bank Ltd. Subordinated Bond -2016 | 8 | 80,000,000 | 80,000,000 | - | 0.00% | 15.02% |
| | Sub-Total | 8 | 80,000,000 | 80,000,000 | - | 0.00% | 15.02% |
| | Grand Total Capital Market Securities | 8 | 80,000,000 | 80,000,000 | - | | |

*For Open-end Mutual Funds, surrender value shall be considered as market value **For other non-listed securities,fair value shall be estimated following International Financial Reporting Standards (IFRSs) and be reported once a year in the annual audited financial statements of the Fund/Scheme

III. Cash and Cash Equivalents and Investments in Securities non related to Capital Market:

| Market Instruments (Script Wise) | Types (G Sec/Others) | No. of Instruments | Cost Value | Market Value/Fair Value |
|----------------------------------|----------------------|--------------------|------------|-------------------------|
| | N/A | N/A | N/A | N/A |
| | Sub-Total | - | - | - |

B. Term Deposit/ Investment:

| No. and Date | Bank/Non-Bank Name | Rate of Interest/Profit | Investment Value | Maturity Value |
|--------------|--------------------|-------------------------|------------------|----------------|
| | | N/A | N/A | N/A |
| | Sub-Total | - | - | - |

C. Cash at Bank

| Bank Name-A/C No | Nature of Account | Rate of Interest/Profit | Available Balance | N/A | Account Opening Date | Purpose |
|------------------|-------------------|-------------------------|-------------------|-----|----------------------|---------------------|
| Padma Bank-01130 | SND | 6.00% | 11,284,400 | N/A | 30/Dec/2019 | Operational Account |
| Padma Bank-01130 | Dividend | 3.00% | - | | | |
| | Sub-Total | | 11,284,400 | N/A | | |

D. Cash in Hand

| | | |
|---|-------------------|--|
| Total Cash and Cash Equivalents and Investments in Securities (not related to Capital Market) | 11,284,400 | |
|---|-------------------|--|

| | | |
|------------------------------------|--|--------------------|
| Total Investment=(I+II+III) | | 532,749,679 |
|------------------------------------|--|--------------------|

Sd/-

Chief Financial Officer

Sd/-

Chief Executive Officer & Managing Director